

Received date: 03/27/2007

✓10591205 - GAU: 243  
JRW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 06-707)

In the Application of:

Graham Richard Wyatt

) Examiner: Not Assigned

Serial No.: 10/591,205

) Group Art Unit: Unknown

Filed: August 31, 2006

Title: Threat Mitigation in Computer Networks

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:
  - a. Information Disclosure Statement
  - b. Statement of Relevancy of Foreign Language Reference
  - c. Copies of cited Non-U.S. Patent References
  - d. Return Receipt Postcard.
2. With respect to additional fees:
  - a. Attached is a check in the amount of \$-0-
3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 23rd day of March, 2007.

Dated: March 23, 2007

By:

  
A. Blair Hughes  
Reg. No. 32,901



'PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 06-707)

In the Application of )  
Graham Richard Wyatt ) Art Unit: Unknown  
Serial No.: 10/591,205 )  
Filed: August 31, 2006 ) Examiner: Not Assigned  
Title: Threat Mitigation in Computer Networks )

**Statement of Relevancy of a Foreign Language References**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The DE 100 23 249 reference, which is cited in an Information Disclosure Statement by Applicant filed contemporaneously with this Statement, is being supplied in a language other than in English. The relevancy of the foreign language reference is identified by the European Patent Office in a corresponding International Patent Cooperation Treaty Application (copy of which is attached hereto) as an "X" reference relevant to claims 1-21 meaning it is a "document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone".

The DE 102 35 819 reference, which is cited in an Information Disclosure Statement by Applicant filed contemporaneously with this Statement, is being supplied in a language other than in English. The relevancy of the foreign language reference is identified by the European Patent Office in a corresponding International Patent Cooperation Treaty Application (copy of which is attached hereto) as an "X" reference relevant to claims 1-21 meaning it is a "document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone".

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.A./

The Marcolini reference, which is cited in an Information Disclosure Statement by Applicant filed contemporaneously with this Statement, is being supplied in a language other than in English. The relevancy of the foreign language reference is identified by the European Patent Office in a corresponding International Patent Cooperation Treaty Application (copy of which is attached hereto) as an "A" reference relevant to claims 1-21 meaning it is a "document defining the general state of the art which is to be considered to be of particular relevance".

Respectfully submitted,

McDONNELL BOEHNEN HULBERT &  
BERGHOFF LLP



By:

A. Blair Hughes  
Reg. No. 32,901

Dated: March 23, 2007

~~Recept date:~~ 03/27/2007

10591205 - GAU: 2431

PTO/SB/08a (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

Approved for use through 03/31/2007. GMD 3501-0001  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no Persons are required to respond to a collection of information unless it contains a valid OMB control number.

Ends the Paperwork Reduction Act

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2 Attorney Docket Number 06-707

## U. S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)				
		DE 102 35 010	2-20-04	Schneider		
		DE 100 20 249	11-22-04	Martens		

Examiner Signature	/Jeremiah Avery/	Date Considered	06/01/2010
-----------------------	------------------	--------------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

American LegalNet, Inc.  
[www.FormsWorkflow.com](http://www.FormsWorkflow.com)

**ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH.** /J.A./

~~Received~~ date: 03/27/2007

10591205 - GAU: 2431

PTO/SB/08b (09-06)

Approved for use through 03/31/2007, OMB 0651-0031

Approved for use through 08/01/2001. GMB 055-1-0587  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
to a collection of information unless it contains a valid OMB control number.

~~Substitute~~ for form 1449B/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

<p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>				<i>Complete if Known</i>	
				Application Number	10/591,205
				Filing Date	8-31-06
				First Named Inventor	Wyatt
				Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	06-707

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Signature	/Jeremiah Avery/	Date Considered	06/01/2010
--------------------	------------------	-----------------	------------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1. Applicant's unique citation designation number (optional).<sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.A./